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DATE:	March 19, 2003	SER. NO.:	10/042,426
ATTN:	Examiner Markham	FROM:	Robert J. Crawford
FAX NO.:	(703) 872-9310	DOCKET NO.	STFD.021C1 (S98-049CON)
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NO. OF PAGES (w/ cover sheet):	1 of 9	SUBJECT:	<u>SECOND</u> <u>PRELIMINARY</u> <u>AMENDMENT</u>

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PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Dai <i>et al.</i>	Examiner:	Markham, W.
Serial No.:	10/042,426	Group Art Unit:	1741
Filed:	January 7, 2002	Docket No.:	STFD.021C1 (S98-049A-Con)
Title:	CARBON NANOTUBE STRUCTURE HAVING A CATALYST ISLAND		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being transmitted via facsimile only-Formal Entry, to the attention of Examiner Markham Assistant Commissioner for Patents, Washington, D.C. 20231, on March 19, 2003.

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By: *Kelly S. Waligney*

Kelly S. Waligney

SECOND PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

Pursuant to communications with the Patent Examiner regarding an anticipated Restriction Requirement, please enter the following, second preliminary amendment to the above-referenced application and consider the following remarks before further consideration on the merits thereof.

Applicant also submits herewith the following new claims numbered 39-70 to be entered into this patent application. Authorization to charge requisite fees is given on page 7 of this Preliminary Amendment.

In the Claims:

Please cancel claims 1-26.

Please amend claim 37 as follows (attached hereto Appendix with marked-up revisions) and add new claims (39-70) as follows: